

Trusted Digital Repository Self-Audit: Lessons Learned

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UNT Libraries' Self Audit

- University of North Texas and the University of Florida began a “buddy model” for self-audits according to the Trusted Repositories Audit & Certification: Criteria and Checklist (TRAC) in 2014.
- Work based on Trusted Repositories Audit & Certification (TRAC)
- Goal was to each complete a self-audit and then “peer review” each others work.
- Met throughout the year to discuss project and move activities along.
- UNT completed self-audit, but UF was not able to complete the activity.

UNT Self-Audit

- Released in October 2015
- UNT Libraries TRAC Conformance Document.
- Main document (68 p.) and 23 appendices (291 pages total).
- Team of five to collect information, generate documentation, write report.
- Three independent “readers” for main document.
- <https://library.unt.edu/digital-libraries/trusted-digital-repository/>



UNT Libraries: TRAC Conformance Document

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Lessons Learned

- Almost impossible to do in isolation.
- Our work required documentation at levels not directly related to the repository.
 - Disaster and threat analysis for compute infrastructure for our data centers.
- While it sounds silly, *what* we were trying to audit as trusted was hard to initially define.
- Having mountains of documentation about how things fit together has been very helpful.
 - New team members, new curators, questions from the community.
- We modeled much of our work based on publicly available documentation by others who completed and audit before us.

Next steps.

- The push for certification and audits seems to have slowed in the community overall.
- Currently only 2 repositories certified by PTAB (<http://www.iso16363.org/iso-certification/certified-clients/>)
- Unclear how often we should redo our self-audit.
 - Current local thought is to wait until things change enough to warrant the work.
- None of our 450+ partner institutions has ever asked us if we are a Trusted Digital Repository.
- Trusted Digital Repository Awesome List - <https://github.com/peterVG/awesome-tdr>

Thank you.

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