

Trusted Digital Repository Self-Audit: Lessons Learned

Mark Phillips

Associate Dean for Digital Libraries

UNT Libraries

March 14-15, 2022

UNT Libraries' Self Audit

- University of North Texas and the University of Florida began a “buddy model” for self-audits according to the Trusted Repositories Audit & Certification: Criteria and Checklist (TRAC) in 2014.
- Work based on Trusted Repositories Audit & Certification (TRAC)
- Goal was to each complete a self-audit and then “peer review” each others work.
- Met throughout the year to discuss project and move activities along.
- UNT completed self-audit, but UF was not able to complete the activity.

UNT Self-Audit

- Released in October 2015
- UNT Libraries TRAC Conformance Document.
- Main document (68 p.) and 23 appendices (291 pages total).
- Team of five to collect information, generate documentation, write report.
- Three independent “readers” for main document.
- <https://library.unt.edu/digital-libraries/trusted-digital-repository/>



UNT Libraries: TRAC Conformance Document

Date: October 2015

Version: 1.0

Contributors:

Mark Phillips Assistant Dean for Digital Libraries
Hannah Tarver Department Head, Digital Projects Unit
Ana Kraemer Supervisor, Digital Newspaper Unit
Daniel Alemneh Supervisor, Digital Curation Unit
Laura Waugh Repository Librarian for Scholarly Works



This work is licensed under a Creative Commons Attribution 4.0 International License.

Lessons Learned

- Almost impossible to do in isolation.
- Our work required documentation at levels not directly related to the repository.
 - Disaster and threat analysis for compute infrastructure for our data centers.
- While it sounds silly, *what* we were trying to audit as trusted was hard to initially define.
- Having mountains of documentation about how things fit together has been very helpful.
 - New team members, new curators, questions from the community.
- We modeled much of our work based on publicly available documentation by others who completed an audit before us.

Next steps.

- The push for certification and audits seems to have slowed in the community overall.
- Currently only 2 repositories certified by PTAB (<http://www.iso16363.org/iso-certification/certified-clients/>)
- Unclear how often we should redo our self-audit.
 - Current local thought is to wait until things change enough to warrant the work.
- None of our 450+ partner institutions has ever asked us if we are a Trusted Digital Repository.
- Trusted Digital Repository Awesome List - <https://github.com/peterVG/awesome-tdr>

Thank you.

mark.phillips@unt.edu

@vphill